

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

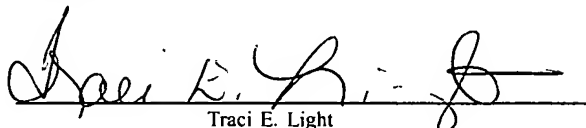
Box Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Stefan Kwiatkowski and Miroslaw Golinski for **Chlorinated Heterocyclic Compounds And Methods Of Synthesis**.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **December 31, 2003** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV329483104US** addressed to: **Box Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Traci E. Light

1. Type Of Application

This new application is for a(n)

- ☒ Original (nonprovisional)  
☒ Continuation.

2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

- 38 Pages of Specification  
5 Pages of Claims  
1 Page of Abstract  
21 Sheets of Formal Drawings

4. Declaration

- ☒ Enclosed  
☒ Unexecuted.

5. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ the same

6. Language

- ☒ English

7. Assignment

- ☒ An assignment of the invention to **R.T. Alamo Ventures I, LLC** is attached.  
☒ Form PTO-1595 will follow.

8. Fee Calculation (37 C.F.R. § 1.16)

- ☒ Regular application

CLAIMS AS FILED

| Number Filed  | Number Extra | Rate          | Basic Fee - \$770.00<br>(37 C.F.R. § 1.16(a)) |
|---|--------------|---------------|---|
| Total Claims (37 C.F.R. § 1.16(c))                        | 26 - 20 =    | 6 × \$18.00 = | \$108.00                                      |
| Independent Claims (37 C.F.R. § 1.16(b))                  | 10 - 3 =     | 7 × \$86.00 = | \$602.00                                      |
| Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d)) | + \$290.00 = |               | \$0.00  |
| Filing Fee Calculation                                    |              |               | \$1480.00                                     |

## 9. Small Entity Statement(s)

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$740.00

## 10. Fee Payment Being Made At This Time

☒ Enclosed☒ basic filing fee

\$740.00

Total Fees Enclosed \$740.00

## 11. Method of Payment of Fees

☒ Check in the amount of \$740.00

## 12. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

## 13. Power of Attorney by Assignee

☒ Enclosed

## 14. Return Receipt Postcard

☒ Enclosed

Dated: December 31, 2003

Thomas W. Brown  
Registration No.: 50,002MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 350  
San Francisco, California 94105  
617/984-0616☒ Incorporation By Reference Of Added Pages☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)  
ClaimedNumber of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

**15. Relate Back**

A. 35 U.S.C. § 119(e)

B. 35 U.S.C. §§ 120, 121 and 365(c)

- ☒ Amend the Specification by inserting before the first line the sentence: "This is a Continuation of copending application(s) 10/281,684 filed on 10/28/02 which is a conversion of provisional patent application 60/360,954 filed on 03/01/02."

**16. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are

☒ the same.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Stefan Kwiatkowski et al.**

Serial No.:

Group No.:

Filed:

Examiner:

Entitled:

**Chlorinated Heterocyclic Compounds And Methods Of Synthesis**

**TRANSMITTAL OF FORMAL DRAWINGS**

Official Draftsperson  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|   |  |
|---|--|
| <b>CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10</b>  |  |
| <small>I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV329483104US, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</small> |  |
| Dated: <u>December 31, 2003</u>   | By: <u>Traci E. Light</u><br><small>Traci E. Light</small> |

Sir or Madam:

In response to the NOTICE OF INFORMAL DRAWINGS or ALLOWABILITY, attached please find:

☒ 21 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR § 1.84(c) on the front side of the drawing.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: 12/31/03

Thomas W. Brown  
Thomas W. Brown  
Registration No. 50,002

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617/984.0616